


FORM PTO-1449 (Modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use several sheets if necessary)  (37 CFR 1.98(b))	ATTY. DOCKET NO. <b>06213 USA</b>	SERIAL NO. <b>10/061,898</b>
APPLICANT Caroline Sassano Slone, et al.		FILING DATE <b>2/1/02</b>
GROUP <b>1712</b>		11002 U.S. PTO <b>10/061898</b> 

**U.S. PATENT DOCUMENTS**

DISPONENT DOCUMENTS													
EXAM- INER INITIAL	DOCUMENT NUMBER								DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPRO-PRATE
<i>DSM</i>	3	9	3	1	4	3	0	01/06/1976	T. Tada, et al.	428	195	11/07/1973	
<i>DSM</i>	4	6	5	0	0	0	0	03/17/1987	E. M. Andreasson, et al.	166	307	11/06/1985	
<i>DSM</i>	4	7	9	7	2	0	2	01/10/1989	R. R. Klimpel, et al.	209	166	02/26/1987	

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER										DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
	0	3	1	3	1	5	9								YES	NO
<i>DSM</i>	0	3	1	3	1	5	9				Swiss				X	
	1	7	8	5	0	6	6				Beilstein (abstract)				X	
	1	7	4	7	1	6	2				Beilstein (abstract)				X	
<i>DSM</i>	2	0	0	0	1	0	2	6	8	1-14-2000	Japan				X	
<i>DSM</i>	4	5	0	1	1	9	9	7	3	8-7-1990	Japan				X	
<i>DSM</i>	20	0	1	1	0	7	0	8	3	4-17-2001	Japan				X	

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

<i>DSM</i>		R. Hofer, et al., <i>Ullmann's Encyclopedia of Industrial Chemistry</i> , Vol. A11, 5th Ed., VCH Publishers, New York, 1988, p. 466-487.
<i>DSM</i>		V. E. Lewis, et al., <i>Foams: Fundamentals and Applications in the Petroleum Industry</i> , "Antifoaming and Defoaming in Refineries," Adv. Chem. Ser. 1994, 242, p 461-483, Refinery Process Chemicals, Nalco Chemical Co.
<i>DSM</i>		H. F. Mark, et al., <i>Encyclopedia of Polymer Science and Engineering</i> , 2nd Ed. Vol. 2, John Wiley and Sons, New York, 1985, p. 58-72.
<i>DSM</i>		R. A. Reinhardt, et al., <i>Defoamer Selection in Waterborne Coatings</i> , Journal of Coatings Technology, 1998, 70, 157-160.

EXAMINER <i>Daniel A. McFinn</i>	DATE CONSIDERED <b>2-3-03</b>
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EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*\* Month unavailable -

**SERIAL NO.**  
**10/061898**

APPLICANT  
Caroline Sassano Slone, et al.

(37 CFR 1.98(b))

**FILING DATE**  
**1 February 2002**

GROUP

1712

**U.S. PATENT DOCUMENTS**

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

Doc		U.S. Patent application S/N 09/909,555, filed 7-20-2001 pages 1-29.

**-EXAMINER-**

Daniel S McFarlin

**-DATE-CONSIDERED-**

2-3-03

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